TOL
City of Toledo

Toledo Recovery Plan Legislation

Please complete the form and review the following checklist prior to submitting legislation for Toledo Recovery Plan expenditures. The completed document and proposed ordinance should be sent to Gretchen DeBacker and Melanie Campbell, who will schedule meeting with the internal TRP team to review prior to council approval.

Project Name

Department

Contact Name

Contact Email

Contact Phone

Dollar Amount Requested

Project requires multiple ordinances?

◯ Yes   ◯ No

Dollar amount different than approved?

◯ Yes   ◯ No

Project Begin Date

Project End Date

Discussion Items

› Does the item have approval from the Law department?

› Does the item have approval from the Finance department?

› Is a grant agreement/partner agreement required?

› Who is the City of Toledo partner involved in this item/recipient of funds?

› Which Toledo City Council Committee would this item be assigned to?

› Have you communicated to the Chair of that committee on this item?

› Have you advised Engage Toledo of any updates on this item? Is there a process/call out change as a result of this item? If so, what?

› Where will the data and expenditures be tracked?

› What are the federal reporting requirements and timeline?

› If approved, will any of the below be needed?

  » RFP

  » New position/hiring

  » Contracts

  » Website content

  » Resident registration/application

  » Survey

  » Press coverage

  » Educational video

  » Social media campaign

  » Public/community meetings

  » City Council hearing

Eligible Uses Questionnaire

This list only identifies those uses explicitly spelled out by U.S. Treasury and only includes categories and subcategories if specifically included in the Final Rule. If you are uncertain about which eligible use is applicable to your Toledo Recovery Plan project, please contact the Department of Finance (Melanie Campbell **melanie.campbell@toledo.oh.gov**) or Law (John Bibish IV **john.bibishIV@toledo.oh.gov**) for assistance.

Sub-Category	Eligible Use	Initial/Date
Public Health		
COVID-19 Mitigation and Prevention	Vaccination programs and incentives	
COVID-19 Mitigation and Prevention	Monitoring, contract tracing, and public health surveillance	
COVID-19 Mitigation and Prevention	Support for isolation or quarantine	
COVID-19 Mitigation and Prevention	Enforcement of public health orders	
COVID-19 Mitigation and Prevention	Public communication efforts	
COVID-19 Mitigation and Prevention	Medical and PPE/protective supplies	
COVID-19 Mitigation and Prevention	Support for prevention and mitigation strategies in small businesses, non-profits, and impacted industries	
COVID-19 Mitigation and Prevention	Transportation to reach vaccination or testing sites, or other prevention and mitigation services for vulnerable populations	
Medical Expenses	Treatment of long-term symptoms or side effects of COVID-19	
Medical Expenses	Medical costs related to testing and treating uninsured individuals	
Medical Expenses	Deductibles, co-pays, or other COVID-19 costs not covered by insurance	
Medical Expenses	Costs for uncompensated COVID-19 care at a health provider	
Medical Expenses	Emergency medical response costs	
Behavior Health Care	Prevention, outpatient treatment, inpatient treatment, crisis care, diversion programs, outreach to individuals not yet engaged in treatment, harm reduction, and long-term recovery support	
Behavior Health Care	Enhanced behavioral health services in schools	
Behavior Health Care	Services for pregnant women or infants born with neonatal abstinence syndrome	

Sub-Category	Eligible Use	Initial/Date
Behavior Health Care	Support for equitable access to reduce disparities in access to high-quality treatment	
Behavior Health Care	Peer support groups, costs for residence in supportive or recovery housing. And the 988 National Suicide Prevention Lifeline or other hotline services	
Behavior Health Care	Expansion of access to evidence-based services for opioid use disorder prevention, treatment, harm reduction, and recovery	
Violence Response and Prevention	Emergency housing assistance, cash assistance, or assistance with food, childcare, and other needs to support survivors of domestic violence, sexual assault, or human trafficking	
Violence Response and Prevention	Community violence intervention programs, including: evidence-based practices like focused deterrence, with wraparound services such as behavioral therapy, trauma recovery, job training, education, housing and relocation services, and financial assistance	
==Violence Response and Prevention==	Expenses in communities experiencing increased gun violence due to the pandemic, such as: law enforcement officers focused on advancing community policing enforcement efforts to reduce gun violence, including prosecution, and ==technology and equipment to support law enforcement response==	
Violence Response and Prevention	Payroll and covered benefits associated with community policing strategies	
Negative Economic Impacts		
Impacted Households and Communities	Food assistance and food banks	
Impacted Households and Communities	Emergency assistance for individuals experiencing homelessness, either individual-level assistance (e.g., rapid rehousing services) or assistance for groups of individuals (e.g., master leases of hotels, motels, or similar facilities to expand available shelter)	
Impacted Households and Communities	Emergency housing assistance: rental assistance, mortgage assistance, utility assistance, assistance paying delinquent property taxes, counseling, and legal aid to prevent eviction and homelessness	
Impacted Households and Communities	Burials, home repairs, and home weatherization	

Sub-Category	Eligible Use	Initial/Date
Impacted Households and Communities	Programs, devices and equipment (e.g. tablets, computers, or routers) for internet access and digital literacy, including subsidies for costs of access	
Impacted Households and Communities	Cash assistance	
Impacted Households and Communities	Benefits for surviving family members of individuals who have died from COVID-19	
Impacted Households and Communities	Assistance in accessing and applying for public benefits or services	
Impacted Households and Communities	Childcare and early learning services, home visiting programs, and services for child welfare-involved families and foster youth and childcare facilities	
Impacted Households and Communities	Assistance to address the impact of learning loss for K-12 students (e.g., high-quality tutoring, differentiated instruction)	
Impacted Households and Communities	Programs or services to support long-term housing security, including: development of affordable housing and permanent supportive housing	
Impacted Households and Communities	Paid sick, medical, and family leave programs	
Impacted Households and Communities	Health insurance coverage expansion	
Impacted Households and Communities	Financial services for the unbanked and underbanked	
Impacted Households and Communities	Assistance to individuals who want and are available to work, including: job training, public jobs programs and fairs, support for childcare and transportation to and from a job site or interview, incentives for newly employed workers, subsidized employment, grants to hire underserved workers, assistance to unemployed individuals to start small businesses, and development of job and workforce training centers	
Impacted Households and Communities	Certain contributions to an Unemployment Insurance Trust Fund under Title XII of the Social Security Act	
Disproportionately Impacted Households and Communities	Pay for community health workers to help households access health and social services	
Disproportionately Impacted Households and Communities	Remediation of lead paint or other lead hazards	

Sub-Category	Eligible Use	Initial/Date
Disproportionately Impacted Households and Communities	Primary care clinics, hospitals, integration of health service into other settings, and other investments in medical equipment and facilities designed to address health disparities	
Disproportionately Impacted Households and Communities	Housing vouchers and assistance relocating to neighborhoods with higher economic opportunity	
Disproportionately Impacted Households and Communities	Investments in neighborhoods to promote improved health outcomes (e.g., parks, recreation facilities, programs that increase access to healthy foods)	
Disproportionately Impacted Households and Communities	Improvements to vacant and abandoned properties, including: rehabilitation or maintenance, renovation, removal and remediation of environmental contaminants, demolition or deconstruction, greening/vacant lot cleanup, and conversion to affordable housing	
Disproportionately Impacted Households and Communities	Services to address educational disparities, including: assistance to high-poverty school districts and educational and evidence-based services to address student academic, social, emotional, and mental health needs	
Disproportionately Impacted Households and Communities	Schools and other educational equipment and facilities	
Impacted Small Businesses	Loans or grants to mitigate financial hardship include supporting payroll and benefits and costs to retain employees. And mortgage, rent, utility, and other operating costs	
Impacted Small Businesses	Technical assistance, counseling, and other services to support business planning	
Disproportionately Impacted Small Businesses	Rehabilitation of commercial properties, storefront improvements, and façade improvements	
Disproportionately Impacted Small Businesses	Technical assistance, business incubators, and grants for start-up or expansion costs	
Disproportionately Impacted Small Businesses	Support for microbusinesses, including financial, childcare, and transportation costs	
Impacted Non-Profits	Loans or grants to mitigate financial hardship	
Impacted Non-Profits	Technical or in-kin assistance or other services t hat mitigate negative economic impacts of the pandemic	
Disproportionately Impacted Non-Profits	Recipient-identified appropriate responses that are related and reasonable proportional to addressing disproportionate impacts	
Impacted Industries	Aid to mitigate financial hardships, such as supporting payroll costs, lost pay, and benefits for returning employees, support of operations and maintenance of existing equipment and facilities	

Sub-Category	Eligible Use	Initial/Date
Impacted Industries	Technical assistance, counseling, or other services to support business planning	
Impacted Industries	COVID-19 mitigation and infection prevention measure (see Public Health)	
Public Safety, Public Health, Health Care, and Human Services Staff	Payroll and covered benefits for employees responding to COVID-19 (e.g., all types of leave, employee insurance, retirement, unemployment benefit plans, workers compensation insurance, and Federal Insurance Contributions Act taxes)	
Government Employment and Public Sector Staff	Payroll costs for hiring up to the pre-pandemic baseline	
Government Employment and Public Sector Staff	Additional funds for employees who experienced pay cuts and were furloughed	
Government Employment and Public Sector Staff	Efforts to maintain current compensation levels for layoff prevention	
Government Employment and Public Sector Staff	Worker retention incentives, including: reasonable increases in compensation	
Government Employment and Public Sector Staff	Administrative costs associated with hiring, support, and retention programs	
Program Evaluation, Data, and Outreach	Program evaluation and evidence resources	
Program Evaluation, Data, and Outreach	Data analysis resources to gather, assess, share, and use data	
Program Evaluation, Data, and Outreach	Technology infrastructure to improve access to and the user experience of government IT systems	
Program Evaluation, Data, and Outreach	Community outreach and engagement activities	
Program Evaluation, Data, and Outreach	Capacity building resources to support using data and evidence, including: hiring staff, consultants, or technical assistance support	
Administrative Needs	Costs associated with backlogs caused by shutdowns, increased repair or maintenance needs, and technology infrastructure to adapt government operations to the pandemic (e.g., video-conferencing software, data, and case management systems)	
Public Health	Improvements or construction of COVID-19 testing sites and laboratories, and acquisition of related equipment	
Public Health	Improvements to or construction of COVID-19 vaccination sites	
Public Health	Improvement to or construction of medical facilities generally dedicated to COVID-19 treatment and mitigation (e.g., emergency rooms, intensive care units, telemedicine capabilities	

Sub-Category	Eligible Use	Initial/Date
Public Health	Expenses of establishing temporary medical facilities and other measures to increase COVID-19 treatment capacity, including related construction costs	
Public Health	Acquisition or equipment for COVID-19 prevention and treatment, including ventilators, ambulances, and other medical or emergency services equipment	
Public Health	Improvements to or construction or emergency operations centers and emergency response equipment (e.g., emergency response radio systems)	
Public Health	Installation and improvements of ventilation systems	
Public Health	Costs of establishing public health data systems, including technology infrastructure	
Public Health	Adaptations to congregate living facilities, including skilled nursing facilities, other long-term care facilities, incarceration settings, homeless shelters, residential foster care facilities, residential behavioral health treatment, and other group living facilities, as well as public facilities and schools (excluding construction of new facilities to mitigate the spread of COVID-19 in the facility)	
Public Health	Mitigation measures in small businesses, nonprofits, and impacted industries (e.g., developing outdoor spaces)	
Public Health	Behavioral health care facilities and equipment (e.g., inpatient or outpatient mental health or substance abuse treatment facilities, crisis centers, diversion centers)	
Impacted Households	Transitional shelters (e.g., temporary residences for people experiencing homelessness)	
Impacted Households	Childcare, daycare, and early learning facilities	
Impacted Households	Job and workforce training centers	
Other	Recipient-identified projects deemed as an appropriate and reasonable response to the public health emergency concerning necessary reporting requirements	
Premium Pay		
Premium Pay	Compensation for eligible workers performing essential work during the pandemic	
Revenue Loss		

Sub-Category	Eligible Use	Initial/Date
Government Services	Maintenance or pay-go funding building of infrastructure, including roads	
Government Services	Modernization of cybersecurity, including hardware, software, and protection of critical infrastructure	
Government Services	Health services	
Government Services	Environmental remediation	
Government Services	School or education services	
Government Services	Provision of police, fire and other public safety services	
Investments in Infrastructure: Water and Sewer		
EPA's Drinking Water State Revolving Fund (DWSRF)12	Facilities to improve drinking water quality	
DWSRF	Transmission and distribution, including: improvements of water pressure or prevention of contamination in infrastructure and lead service line replacements	
DWSRF	New sources to replace contaminated drinking water or increase drought resilience, including: aquifer storage and recovery system for water storage	
DWSRF	Green infrastructure, including: green roofs, rainwater harvesting collection, and permeable pavement	
DWSRF	Storage of drinking water, such as to prevent contaminants or equalize water demands	
DWSRF	Purchase of water systems and interconnection of systems	
DWSRF	New community water systems	
EPA's Clean Water State Revolving Fund (CWSRF)13	Construction of publicly owned treatment works	
CWSRF	Projects pursuant to the implementation of a nonpoint source pollution management program established under the Clean Water Act (CWA)	
CWSRF	Decentralized wastewater treatment systems that treat municipal wastewater or domestic sewage	
CWSRF	Management and treatment of stormwater or subsurface drainage water	
CWSRF	Water conversation, efficiency, or reuse measures	
CWSRF	Development and implementation of a conservation and management plan under the CWA	

Sub-Category	Eligible Use	Initial/Date
CWSRF	Watershed projects meeting the criteria set forth in the CWA	
CWSRF	Energy consumption reduction for publicly owned treatment works	
CWSRF	Reuse or recycling of wastewater, stormwater, subsurface drainage water	
CWSRF	Security of publicly owned treatment works	
Additional Water and Sewer Projects	Lead remediation projects eligible under EPA grant programs authorized by the Water Infrastructure Improvements for the Nation (WIIN) Act, such as lead testing, installation of corrosion control treatment, lead service line replacement, water quality testing, compliance monitoring, and remediation activities, including: replacement of internal plumbing, faucets, and fixtures in schools and childcare facilities.	
Additional Water and Sewer Projects	Culvert repair, resizing, removal, and replacement of storm sewers and additional types of stormwater infrastructure	
Additional Water and Sewer Projects	Infrastructure to improve access to safe drinking water for individuals served by residential wells, including: testing initiatives and treatment/remediation strategies that address contamination	
Additional Water and Sewer Projects	Dam and reservoir rehabilitation if the primary purpose of dam or reservoir is for drinking water supply and project is necessary for the provision of drinking water	
Investments in Infrastructure: Broadband		
Cybersecurity	Modernization of new and existing broadband infrastructure, including: hardware and software	
Need-based Projects	Investments to serve locations without access to reliable high-speed wireline 100/20Mbps broadband service	
Need-based Projects	Affordable Connectivity Program (ACP) or other benefits commensurate to the ACP	
Need-based Projects	Investments to service locations without reliable service	

Subreceipient or Contractor Questionnaire

This document is intended to help a recipient of federal funds make a judgment as to whether each agreement it makes, for the disbursement of federal program funds, casts the entity receiving the funds in the role of a subrecipient or a contractor. Based on 2 CFR Chapter I, Chapter II, Part 200 et al. Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (Uniform Guidance), issued by the U.S. Office of Management and Budget (OMB) on December 26, 2013, and effective for non-federal entities on December 26, 2014, the following information is intended for use by all non-federal entities.

Important Terms:

Recipient: A non-federal entity that receives a federal award directly from a federal awarding agency to carry out an activity under a federal program. The term recipient does not include subrecipients. (See 2 CFR 200.86 of the Uniform Guidance.)

Subrecipient: A non-federal entity that receives a subward for the purpose of carrying out part of a federal award. The subaward creates a federal assistance relationship with the subrecipient. (See 2 CFR 200.93 & .330 (a) of the Uniform Guidance.)

Contractor: A non-federal entity that receives a contract for the purpose of providing goods and services for the awarding non-federal entity's own use. The contract creates a procurement relationship with the contractor. The Uniform Guidance replaced the term "Vendor" with "Contractor." (See 2 CFR 200.22 & .330 (b) of the Uniform Guidance.)

Instructions: The "Characteristics" column in this checklist is based on language in the Uniform Guidance. The column lists characteristics that support the classification of a non-federal entity as a subrecipient or contractor. Since all of the characteristics listed may not be present in all cases, the Uniform Guidance recognizes that the recipient "…must use judgment in classifying each agreement as a subaward or a procurement contract." (2 CFR 200.330 (c).) In the "Explanations" column, AGA provides additional information to assist in answering the questions under "Characteristics." Answer each question by checking "yes" or "no" where indicated. Based on responses to the questions, a key provided at the end of each section will help in making a judgment as to whether a subrecipient or contractor relationship exists. White space is provided in between the "Characteristics" column and the "Explanation" column so that users can tailor this checklist to accommodate the unique aspects of various programs or jurisdictions.

Note: One check in a subrecipient box does not necessarily mean the entity is a subrecipient. A judgment should be based on the totality of responses.

Office _____

Entity receiving funds _____

Funding Source(s) _____

Notes:

_____

_____

_____

_____

CHARACTERISTICS

Decision Making Authority

200.330 a. 1   Determines who is eligible to receive what Federal assistance;

a. Does the entity determine who is eligible to participate in the federal program?

Yes   No

200.330 a.3   Has responsibility for programmatic decision making;

a. Does the entity have the ability to make decisions about how services will be delivered to participants, in accordance with federal programmatic requirements?

Yes   No

OR

200.330 b.4   Provides goods or services that are ancillary to the operation of the Federal program;

b. Does the entity provide goods or services for the recipient's own use?

Yes   No

b. Does the entity provide services designated by the recipient to serve the recipient's participants without regard to specific federal programmatic requirements?

Yes   No

Subrecipient	Contractor

If you selected "yes" to **EITHER** item **a**, this is an indicator of a subrecipient relationship.
If you selected "yes" to **EITHER** item **b**, this is an indicator of a contractor relationship.

If the entity determines whether a participant meets a federal program's eligibility requirements for assistance, it is most likely a subrecipient.

A contractor may provide services to clients in a program after eligibilty has been determined by the recipient.

If the entity has authority to make decisions regarding the delivery of service, operations, or types of assistance provided within the terms of the agreement, it is typically a subrecipient.

If the entity provides goods or services directly to the recipient or to program participants at the direction of the recipient and does not make programmatic decisions or adhere to program requirements, it is typically a contractor.

Nature of Award

200.330 a. 2   Has its performance measured in relation to whether objectives of a federal program were met;

a. Are the scope of work (or portion, if applicable) and terms and conditions of the agreement the same for the entity as they are for the recipient that received the federal funds?

Yes   No

a. Is the entity carrying out completion of the goal of the grant (or part, if applicable) as stated in the federal award?

Yes   No

OR

200.330 b.5   Is not subject to compliance requirements of the Federal program as a result of the agreement, though similar requirements may apply for other reasons.

b. Does the recipient develop the scope of work and terms and conditions of the agreement to meet the recipient's needs?

Yes   No

Subrecipient	Contractor

If you selected "yes" to **EITHER** item **a**, this is an indicator of a subrecipient relationship.
If you selected "yes" to item **b**, this is an indicator of a contractor relationship.

If the entity is providing a service for the recipient to meet the goal of the grant, it is a contractor; if the entity is providing a service that carries out a goal within the scope of the grant, it is a subrecipient. When a grant program contains mulitple goals, it is possible for the recipient to complete part of the goals and for the entity to perform another part.

If the scope of the agreement is per the federal program terms/guidance, the entity is a subrecipient. A subrecipient may also provide programmatic or progress reports to ensure compliance with federal program requirements.

Conversely, if the scope of the agreement is per the recipient's terms and not federal program guidance, and if the recipient's oversight is governed only by the contract terms and conditions, it is a contractor.

Award Risk

200.330 a.4 Is responsible for adherence to applicable Federal program requirements specified in the Federal award;

a. Funding to the entity depends on the entity's ability to best meet the objectives of the award. Although performance is measured against federal award objectives, the entity assumes little risk if the objectives are not met.

Yes   No

OR

200.330 b.5 Is not subject to compliance requirements of the Federal program as a result of the agreement, though similar requirements may apply for other reasons.

b. The entity assumes financial risk if they fail to deliver the goods or services agreed upon.

Yes   No

If you selected "yes" to item **a**, this is an indicator of a subrecipient relationship. If you selected "yes" to item **b**, this is an indicator of a contractor relationship.

Subrecipient	Contractor

If the funding is given to the entity with a purpose of completing the goal of the grant, the recipient will be required to ensure the entity adheres to federal grant program guidance. The recipient will also be required to monitor the activities of the entity per Uniform Guidance section 200.331. The entity assumes little risk should federal grant guidance not be met. The risk falls with the recipient.

If the recipient directs specific activities to be completed by the entity, by providing goods or services, the risk falls on the entity to deliver, per the agreement terms. In this case, the entity would not be required to adhere to the federal grant program requirements, just the terms and conditions in the agreement with the recipient.

Criteria for Selection

200.330 a.5 In accordance with its agreement, uses the Federal funds to carry out a program for a public purpose specified in authorizing statute, as opposed to providing goods or services for the benefit of the pass-through entity.

a. Does the entity demonstrate a financial or public need for funding to carry out a project or provide a service?

Yes   No

a. Will the entity be contributing match or other non-Federal funding in support of the award?

Yes   No

a. Will the entity be reimbursed for only actual costs incurred?

Yes   No

OR

200.330 b.3 Normally operates in a competitive environment;

b. Were procurement policies applied in the selection of the entity?

Yes   No

b. Was the entity's proposed price a factor in the selection process?

Yes   No

b. Will the entity derive a profit from the agreement?

Yes   No

If you selected "yes" to **ANY** item **a**, this is an indicator of a subrecipient relationship. If you selected "yes" to **ANY** item **b**, this is an indicator of a contractor relationship.

Subrecipient	Contractor

If the entity was chosen because it has the best widgets or service for the price, it has a contractor relationship with the recipient. Typically, a procurement method is followed, such as a competitive bid or RFP process. In this type of agreement, the entity usually makes a profit by delivering this good or service to the recipient. Payments to contractors are typically made based on contract terms.

Conversely, if the entity was chosen because it was already providing a service within the guidelines of the grant program and wants to partner with the recipient to expand the delivery or assist in meeting the goal of the grant, it may be a subrecipient. Typically, the entity may not make a profit and may provide its own non-federal funding as match or cost sharing. The entity may have been chosen through an application process or an announcement of funding, as opposed to the procurement process described above. Payment to a subrecipient is generally based on actual expenses unless awarded on a fixed amount subaward (2 CFR 200.332). It is typical of subrecipients to submit budgets, financial reports, or copies of invoices to the recipient, to document activity.

200.330 b.1	Provides the goods and services within normal business operations;

b. Is the entity's normal business to provide the goods or services being purchased in the agreement?

Yes   No

200.330 b.2	Provides similar goods or services to many different purchasers;

b. Does the entity provide the same goods or services to other organizations?

Yes   No

If you selected "no" to **EITHER** item, it is an indicator of a subrecipient relationship.
If you selected "yes" to **BOTH** items, it is an indicator of a contractor relationship.

Subrecipient	Contractor

If a federal program provides funding to modify public buildings for handicapped accessibility and the recipient provides funds to an entity to update the entity's building, per the terms of the award, then a subrecipient relationship exists.

Conversely, if the recipient hires an entity to update their own building to be handicapped accessible, then a contractor relationship exists.

Determination

EXPLANATIONS

Subrecipient	Contractor

Final Determination

Review all the entries and make an overall determination of the relationship. **Check the appropriate box in this section.**

Determined by _____
(enter name of person initially making decision)   _____ (date)

Approved by _____
(enter name of person reviewing)   _____ (date)

Based on the relationship determined above, see additional guidance on requirements governing agreements.
Section 200.331 - "Requirements for pass-through entities," for subrecipient agreements,
Section 200.317 through 200.326 - "Procurement Standards," for contractor agreements.